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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

re application of:

Daniel T. Colbert et al.

Serial No.: 10/028,231

Filed: December 21, 2001

Title:

To:

Method for Purification of As-Produced Single-Wall Carbon

Assistant Commissioner for Patents

Washington, D.C. 20231

Nanotubes

Atty. Docket No.: 11321-P011C1D1

Group Art Unit: 2881

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that the attached correspondence is being deposited with the United States Postal Service, addressed to Assistant Commissioner of Patents, Washington, D.C. 20231 on the date below:

12/6/02

Date

Signature

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

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The references listed on the attached Form PTO/SB/08A-B, copies of which are enclosed, may be material to the examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

RECEIVED

This Supplemental Information Disclosure Statement is being filed within three (3) months of a communication from the Canadian Patent Office regarding a corresponding foreign application, dated October 11, 2002 (attached). Accordingly, it is believed that no fees are due in connection with the filing of this Supplemental Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Winstead Sechrest & Minick Deposit Account No. 23-2426 (referencing matter number 11321-P011C1D1).

Respectfully submitted,

Date: December 6, 2002

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HOUSTON_1\615923\3 11321-P011C1D1 12/06/2002 PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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	Substitute for form 1449A/PTO				Compl te if Known			
INFORMATION DISCLOSURE					Application Number	10/028,231		
	INFO	RMATION	וטו	SCLOSURE	Filing Date	December 21, 2001		
STATEMENT BY APPLICANT					First Named Inventor	Colbert, et al.		
					Art Unit	2881		
(use as many sheets as necessary)					Examiner Name	Unknown		
	Sheet	1	of	2	Attorney Docket Number	11321-P011C1D1		

U.S. PATENT DOCUMENTS						
Examiner Initials		Document Number Number - Kind Code ² (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		us- 5,698,175	12-16-1997	Hiura, et al.		
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6			
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

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1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.usplo.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English Instrument Total billion is attached. English language Translation is attached.

PTO/SB/08B (10-01)

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Compl te if Kn wn Substitute for form 1449B/PTO 10/028,231 Application Number INFORMATION DISCLOSURE December 21, 2001 Filing Date First Named Inventor Colbert, et al. STATEMENT BY APPLICANT Group Art Unit 2881 Unknown (use as many sheets as necessary) Examiner Name of Attorney Docket Number 11321-P011C1D1 Sheet

Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	7
		Ajayan, et al., "Opening carbon nanotubes with oxygen and implications for filling", Nature, Vol. 362 (April 8, 1993), pp.522-525	
		Canadian Search Report dated October 11, 2002 for Canadian Application No. 2,283,502	
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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.